

DAE #

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/675,357
Filing Date	30 September 2003
First Named Inventor	Gillis et al.
Art Unit	2651
Examiner Name	Snizek
Attorney Docket Number	HSJ9-2003-0117US3

Total Number of Pages in This Submission

6

ENCLOSURES (Check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input checked="" type="checkbox"/> Petition under 37 C.F.R. 1.183
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
-Attachment (Assignments on the Web > Patent Query) |
|---|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John J. Oskorep, Esq.
Signature	
Date	11 March 2005

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	John J. Oskorep, Esq.		
Signature		Date	11 March 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



DAC
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<i>In re Application of:</i>	<i>Gillis et al.</i>)	<i>Group No.:</i>	<i>2651</i>
<i>Serial No.:</i>	<i>10/675,357</i>)	<i>Examiner:</i>	<i>Sniezek</i>
<i>Filing Date:</i>	<i>09/30/2003</i>)	<i>Docket No.:</i>	<i>HSJ9-2003-0117US3</i>
<i>Patent No.:</i>	<i>US 6,831,802 B1</i>)		

Entitled: "METHODS AND APPARATUS FOR THERMALLY BONDING LUBRICANT TO A DISK SURFACE BY THE REPETITIVE WRITING OF DATA"

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PETITION UNDER 37 C.F.R. § 1.183

The Applicants hereby respectfully submit this *Petition Under 37 C.F.R. § 1.183* for the above-referenced issued patent.

REMARKS

The named assignee of the above-referenced issued patent is recited incorrectly. In order for the Applicants/Patentee to correct such error under 37 C.F.R. § 1.323 (e.g., Certificate of Correction), a petition under 37 C.F.R. § 1.183 must be granted.

In response, the Applicants hereby respectfully submit this *Petition Under 37 C.F.R. § 1.183* for the above-referenced issued patent.

A petition of this nature should include the following:

- (A) the petition fee required by 37 C.F.R. § 1.17(h);
- (B) a request that 37 § C.F.R. 3.81(a) be waived to permit the correct name of the assignee to be provided after issuance of the patent;
- (C) a statement that the failure to include the correct assignee name on PTOL-85B was inadvertent; and
- (D) a copy of the Notice of Recordation of Assignment Document.

(A) The Applicants submit the required petition fee set forth in 37 C.F.R. § 1.17(h) for \$130.00 by check.

(B) The Applicants request that 37 § C.F.R. 3.81(a) be waived to permit the correct name of the assignee to be provided after issuance of the patent.

(C) The Applicants hereby assert that the failure to include the correct assignee name on PTOL-85B was inadvertent. The Applicants inadvertently submitted an incomplete assignee's name (e.g., **Hitachi Global Storage Technologies**) in section 3 of PTOL-85B upon payment of the issue fee. The correct assignee's name should appear on the bibliographic data page of the issued patent as "**Hitachi Global Storage Technologies Netherlands B.V.**".

(D) The Applicants submit the correct recorded assignment data for the above-referenced issued patent in an attachment. These data were obtained via the results of a Patent Query from the Assignments on the Web of the U.S.P.T.O. website performed on 10 March 2005. The attachment discloses much of the same data found on the Notice of Recordation of Assignment Document requested.

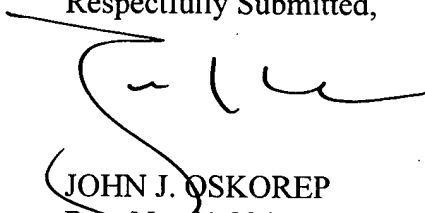
Based on the above, the Applicants respectfully request that this Petition Under 37 C.F.R. § 1.183 be granted.

Thank you. The Petition Reviewer is invited to contact the undersigned by telephone if necessary to expedite this matter.

Respectfully Submitted,

Date:

11 March 2005


JOHN J. OSKOREP
Reg. No. 41,234

JOHN J. OSKOREP, ESQ.
ONE MAGNIFICENT MILE CENTER
980 N. MICHIGAN AVENUE, SUITE 1400
CHICAGO, ILLINOIS 60611

Telephone: (312) 222-1860
Fax: (312) 214-6303



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FEE
Under 37 CFR 1.17(f), (g) & (h)
TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	10/675,357
Filing Date	30 September 2003
First Named Inventor	Gillis et al.
Art Unit	2651
Examiner Name	Snizek
Attorney Docket Number	HSJ9-2003-0117US3

Enclosed is a petition filed under 37 CFR 1.183 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ 130.00 is enclosed.

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

Payment of Fees (small entity amounts are NOT available for the petition fees)

☐ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. _____:

☒ petition fee under 37 CFR 1.17(f), (g) or (h) ☐ any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$ 130.00 is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462

For petitions filed under:

§ 1.53(e) - to accord a filing date.

§ 1.57(a) - to accord a filing date.

§ 1.182 - for decision on a question not specifically provided for.

§ 1.183 - to suspend the rules.

§ 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.

§ 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.

Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463

For petitions filed under:

§ 1.12 - for access to an assignment record.

§ 1.14 - for access to an application.

§ 1.47 - for filing by other than all the inventors or a person not the inventor.

§ 1.59 - for expungement of information.

§ 1.103(a) - to suspend action in an application.

§ 1.136(b) - for review of a request for extension of time when the provisions of section 1.136(a) are not available.

§ 1.295 - for review of refusal to publish a statutory invention registration.

§ 1.296 - to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.

§ 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.

§ 1.550(c) - for patent owner requests for extension of time in *ex parte* reexamination proceedings.

§ 1.956 - for patent owner requests for extension of time in *inter partes* reexamination proceedings.

§ 5.12 - for expedited handling of a foreign filing license.

§ 5.15 - for changing the scope of a license.

§ 5.25 - for retroactive license.

Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464

For petitions filed under:

§ 1.19(g) - to request documents in a form other than that provided in this part.

§ 1.84 - for accepting color drawings or photographs.

§ 1.91 - for entry of a model or exhibit.

§ 1.102(d) - to make an application special.

§ 1.138(c) - to expressly abandon an application to avoid publication.

§ 1.313 - to withdraw an application from issue.

§ 1.314 - to defer issuance of a patent.

Signature

John J. Oskorep, Esq.

Typed or printed name

11 March 2005

Date

41,234

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



United States Patent and Trademark Office

Home | Site Index | Search | Guides | Contacts | eBusiness | eBiz alerts | News | Help

Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.



Total Assignments: 1

Patent #: 6831802

Issue Dt: 12/14/2004

Application #: 10675357

Filing Dt: 09/30/2003

Inventors: Donald Ray Gillis, Andrei Khurshudov

Title: METHODS AND APPARATUS FOR THERMALLY BONDING LUBRICANT TO A DISK SURFACE BY THE REPETITIVE WRITING OF DATA

Assignment: 1

Reel/Frame: 014303/0398

Recorded: 02/03/2004

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GILLIS, DONALD RAY

KHURSHUDOV, ANDREI

Exec Dt: 01/13/2004

Exec Dt: 01/27/2004

Assignee: HITACHI GLOBAL STORAGE TECHNOLOGIES NETHERLANDS B.V.

LOCATELLIKADE 1

1076 AMSTERDAM

1070 AE AMSTERDAM THE, NETHERLANDS

Correspondent: HITACHI GLOBAL STORAGE TECHNOLOGIES

JOHN J. OSKOREP

INTELLECTUAL PROPERTY LAW

5600 COTTLE ROAD, DEPT. NHGB/014-2

SAN JOSE, CALIFORNIA 95193

Search Results as of: 03/10/2005 05:08 PM

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 703-308-9723

| [HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)